

Occupant Behavior Modeling Tools

A Public Webinar

Lawrence Berkeley National Laboratory
U.S. Department of Energy

10:30am – 12:00pm, PDT

March 15, 2016



Lawrence Berkeley
National Laboratory



1. Building Technologies Office: R&D Directions & Opportunities, 5 minutes
Karma Sawyer, Technology Manager, USDOE
2. Overview of U.S.-China CERC-BEE Consortium, 10 minutes
Jimmy Tran, Operations Manager of CERC-BEE, LBNL
3. Introduction of occupant behavior modeling tools, 60 minutes
Tianzhen Hong, PI, LBNL; Yixing Chen, Lead programmer, LBNL
4. Q & A, 15 minutes



Building Technologies Office: R&D Directions & Opportunities

Karma Sawyer, Ph.D.
Technology Manager, CERC-BEE
March 15, 2016

U.S.-China Clean Energy Research Center (CERC)

CERC is a joint clean energy research & development program between the U.S. & China.

- Established in 2009 by President Barack Obama & President Hu Jintao
- Renewed & expanded in 2014 for an additional 5 years (2016-2020)

U.S. President Obama and China President Xi Jinping



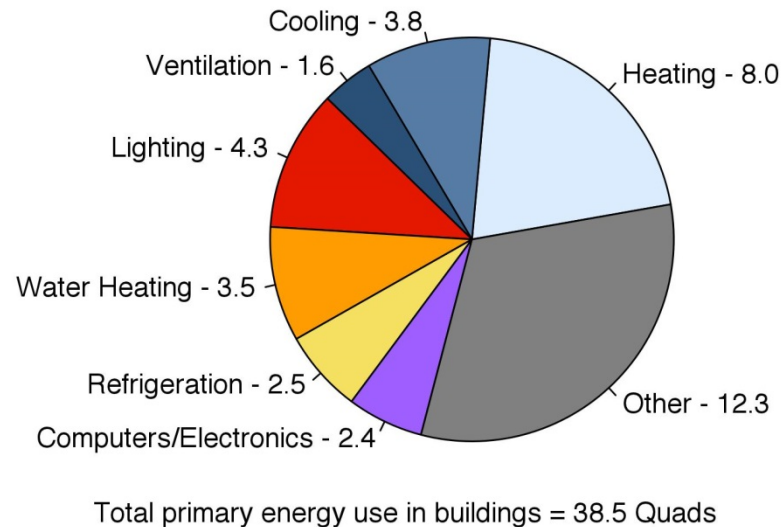
President Barack Obama and President Xi Jinping of China greet children during the State Arrival Welcome Ceremony at the Great Hall of the People in Beijing, China, Nov. 12, 2014

Five CERC Research Consortia

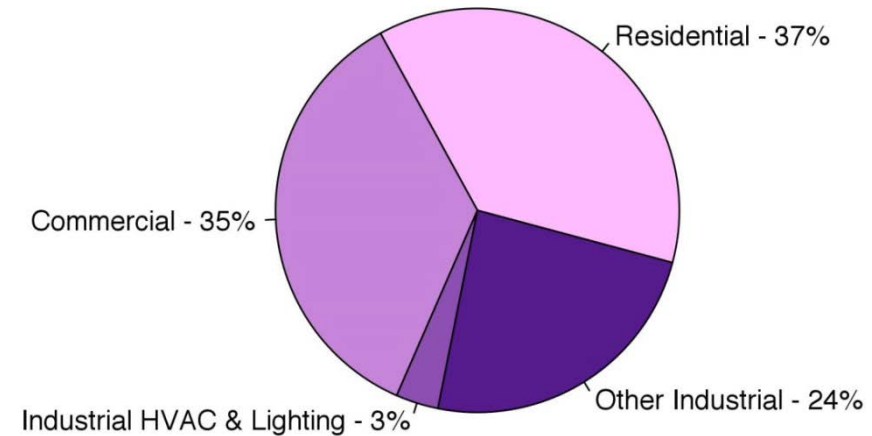
1. Advanced Coal Technology
2. Building Energy Efficiency
3. Clean Vehicles
4. Energy & Water
5. Medium & Heavy Duty Trucks

2014 Building Energy Use

2014 Residential and Commercial Building
Primary Energy Use (Quads)



2014 Electricity Sales for Buildings



Buildings use about 76% of the electricity, and about 40% of all primary energy, in the USA.

Sources: 2013, 2014 EIA Annual Energy Outlook; 2010
Manufacturing Energy Consumption Survey

BTO's Integrated Approach

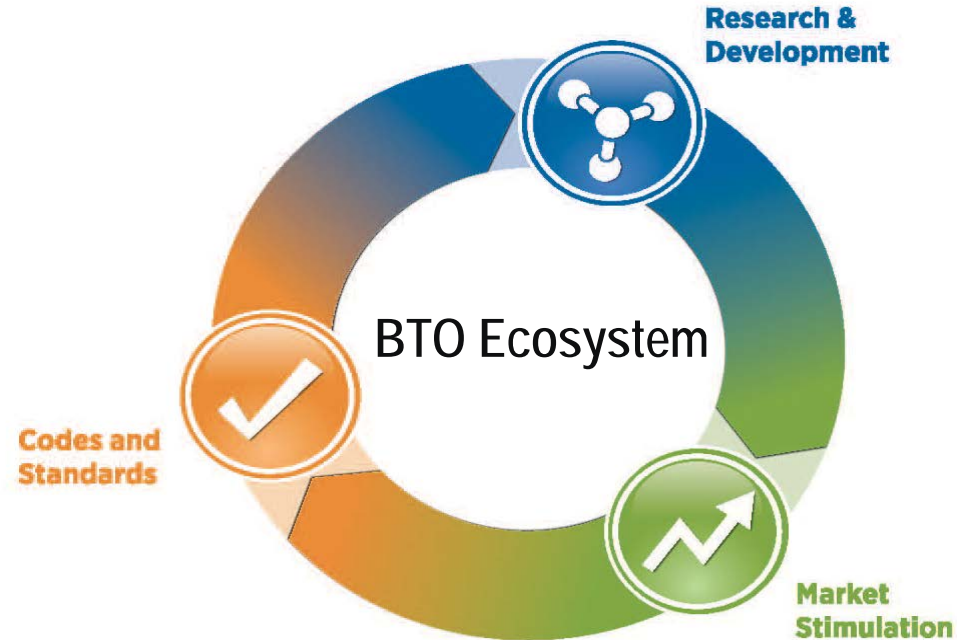
Research & Development

- Develop technology roadmaps
- Prioritize opportunities
- Solicit and select innovative technology solutions
- Collaborate with researchers
- Solve technical barriers and test innovations to prove effectiveness
- Measure and validate energy savings



Market Stimulation

- Identify barriers to speed and scale adoption
- Collaborate with industry partners to improve market adoption
- Increase usage of products & services
- Work through policy, adoption, and financial barriers
- Communicate the importance and value of energy efficiency
- Provide technical assistance and training



Codes and Standards

- Establish minimum energy use in a transparent public process
- Protect consumer interests
- Reduce market confusion
- Enhance industry competitiveness & profitability
- Expand portfolio of EE appliances & equipment
- Raise the efficiency bar

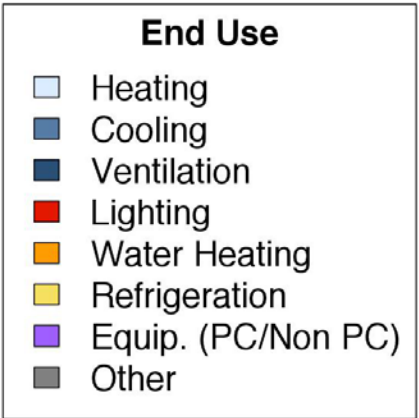
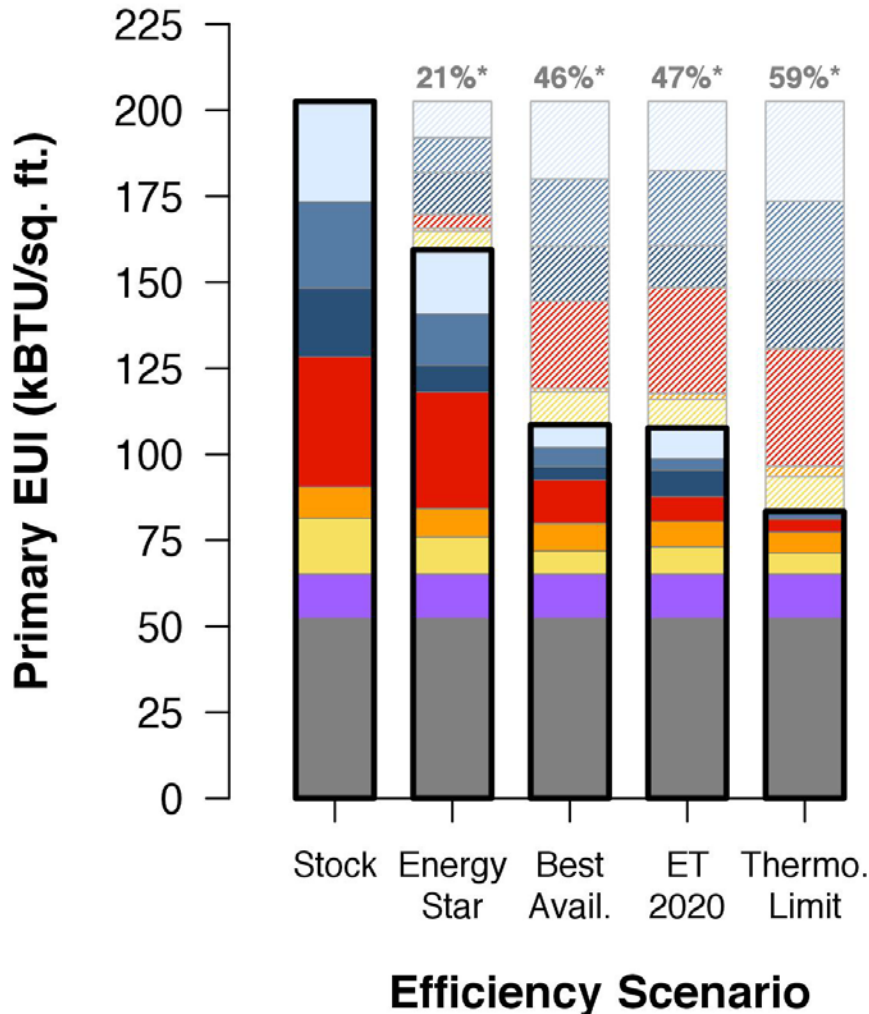
U.S. DEPARTMENT OF
ENERGY

Energy Efficiency & Renewable Energy



Limits to Energy Efficiency (USA)

Commercial Energy (Composite, All Regions)



EUI = Energy Use Intensity
ET = Emerging Technologies

*Energy Savings %

“Other” dominates in future:
Transformers, medical imagers, elevators,
escalators, pumps, laundry equipment,
pumps, fume hoods, CHP, etc.

Best available does not consider cost
ET 2020 includes cost effectiveness

Source: 2015 DOE
Quadrennial Technology
Review, QTR (Chioke
Harris, Jared Langevin,
Jack Mayernik, & Brent
Nelson)

Buildings R&D Opportunities in the QTR

| | |
|--|--|
| Building thermal comfort and appliances | <ul style="list-style-type: none">▪ Materials that facilitate deep retrofits (e.g., thin insulating materials)▪ Low/no-GWP heat pump systems▪ Improved tools for diagnosing heat flows over the lifetime of a building▪ Clear metrics for the performance of building shells for heat and air flows |
| Lighting | <ul style="list-style-type: none">▪ Test procedures for reliably determining the expected lifetime of commercial LED and OLED products▪ Understanding why LED efficiency decreases at high power densities▪ High efficiency green LEDs▪ Efficient quantum dot materials▪ Advanced sensors and controls for lighting▪ Glazing with tunable optical properties▪ Efficient, durable, low-cost OLEDs▪ Lower cost retrofit solutions for lighting fixtures |
| Electronics and miscellaneous building energy loads | <ul style="list-style-type: none">▪ More efficient circuitry (hardware and software)▪ More flexible power management (hardware and software)▪ Standardized communications protocols▪ Wide-band-gap semiconductors for power supplies |
| Systems-level opportunities | <ul style="list-style-type: none">▪ Accurate, reliable, low installed cost sensors▪ Energy harvesting to power wireless sensors and controls▪ Improved control systems (cybersecurity, install/commissioning)▪ Control algorithms to automatically optimize building system performance▪ Open-source software modules supporting interoperability▪ Easy-to-use, fast, accurate software tools to design and operate buildings▪ Co-simulation modeling with a widely used interface standard▪ Decision science research incorporating personal information security▪ Components and systems that allow building devices to share waste heat |

Emerging Technologies: FY17 Emphasis

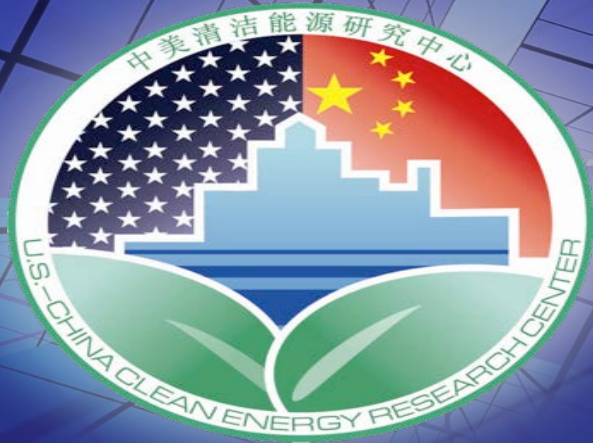
- Low GWP refrigerants
- Non vapor-compression refrigeration
- Grid modernization
- MELs (Miscellaneous Electric Loads)
- Decision science
- Envelope & windows
- Sensors & controls

Questions

- I will be available during the Q&A session at the end of the webinar.
- Or you can reach out directly: karma.sawyer@ee.doe.gov

BUILDINGS ENERGY EFFICIENCY CONSORTIUM

U.S. - CHINA CLEAN ENERGY RESEARCH CENTER (CERC-BEE)



Presentation for Webinar on Occupant Behavior Models

Jimmy Tran

Lawrence Berkeley National Laboratory

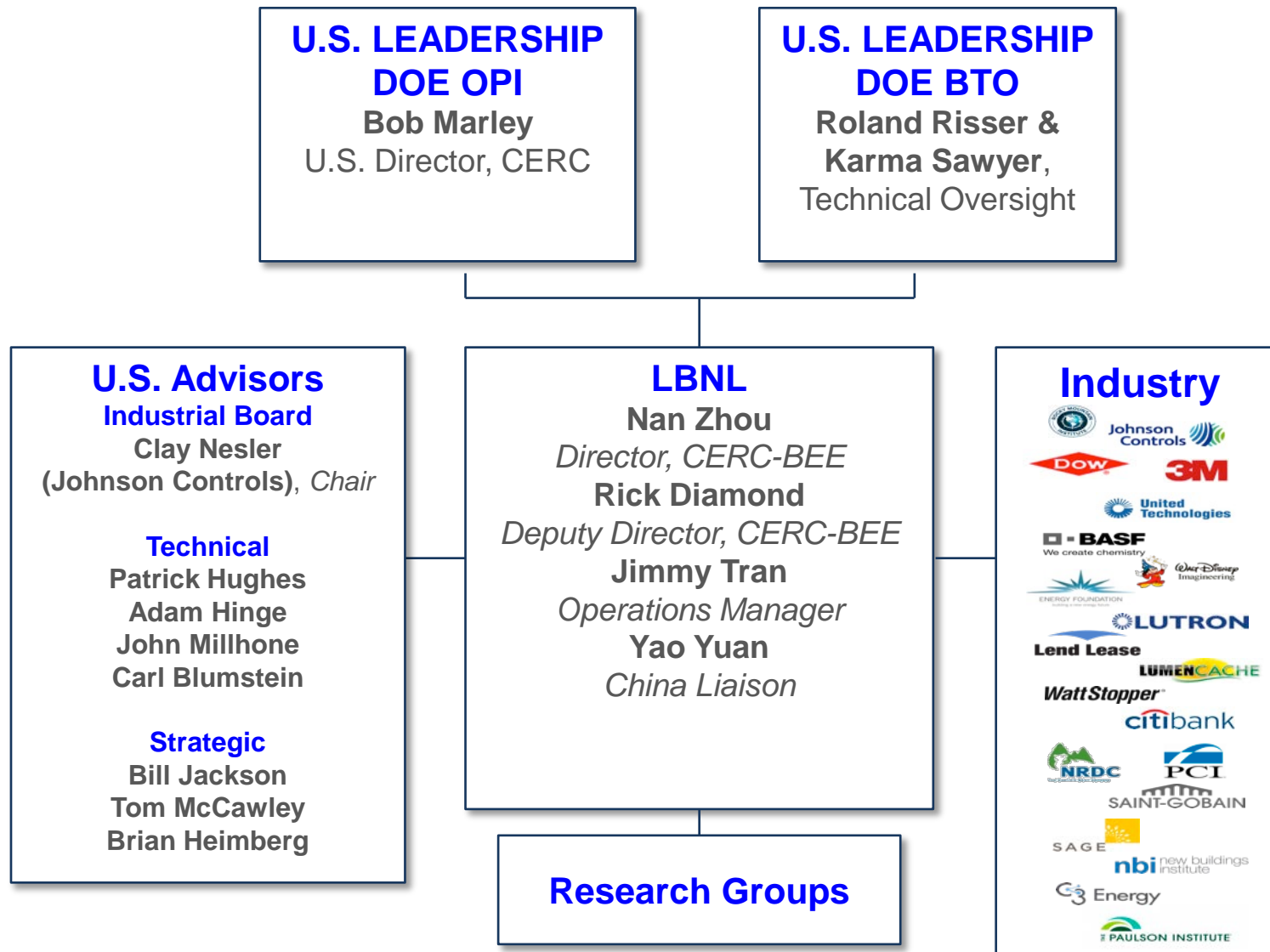
March 15th, 2016



Led by **MoHURD Center of Science and Technology of Construction of China** and **Lawrence Berkeley National Laboratory of the United States** with:



U.S. CERC-BEE ORGANIZATION



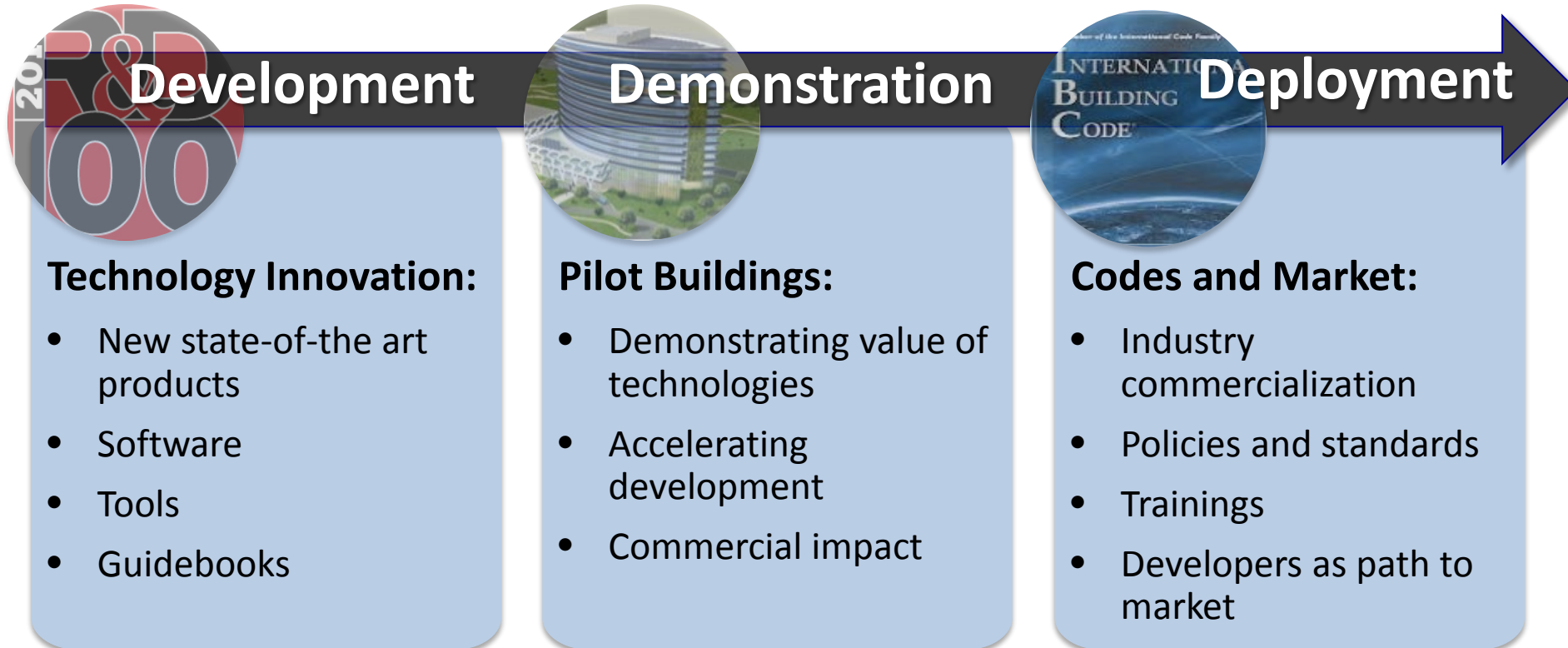
** Proposed

Impact and Approaches

100 million tons CO₂ reduction per year by 2025

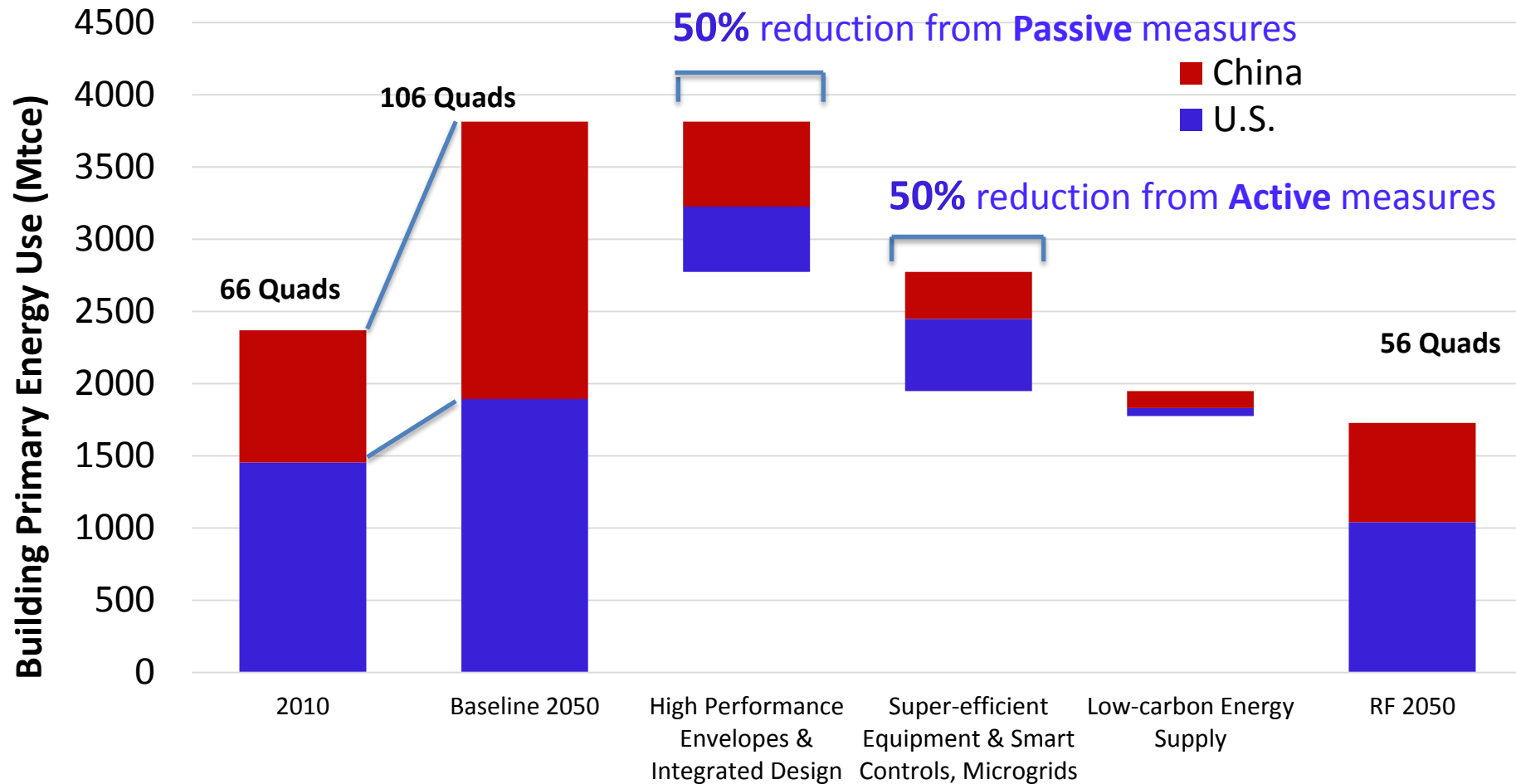
Pioneering collaboration model with foundational IP protection

Accelerated technology development and deployment benefiting both countries



50% Reduction Potential in buildings in both U.S. and China

Projected Energy Consumption of Buildings in 2050 in the U.S. and China



Note: U.S. numbers *based* on RMI Reinventing Fire, China numbers based on LBNL 2050 DREAM model



Benefits to Both Countries

1. **Accelerated technology development** through access to world-class scientists
2. **Real world impact** through commercialization
3. **New technologies with robust applications in the global market** developed for energy-efficient buildings
4. **Expanded markets** for U.S. technologies valued at ~ \$50B/year
5. **Cost-effective and scalable** solutions reduced energy use in buildings and CO₂ emissions
6. **Increased demand** for deployment of energy efficient building technologies through successful demonstrations and by policies and codes
7. **Insights from new data** facilitated through the bi-lateral CERC R&D model
8. **Protected intellectual property** of U.S. industrial partners through a pioneering, enhanced U.S. - China agreement
9. **Model collaborative R&D program** providing opportunities for expanded international cooperation on energy efficient buildings and more
10. **Long-term partnerships with demonstrated results** linking governments, universities, research institutions including U.S. national laboratories, and industry



Unique Business Benefits in the U.S. and China Compared to Traditional Bi-lateral R&D Programs

Access and Input

- Inform new policies/initiatives, stimulating the penetration/adoption of energy efficient technologies and practices
- Direct access to governments, official policy making institutions, U.S. national laboratories, and Chinese research, policy institutes, and companies
- Recognition as a Member at major conferences and workshops

Market Development and Special IP Protections

- Better understanding of, and access to expose new technologies to large markets
- Enhanced IP protection and assistance in China side licensing agreements



>71 Research & Industry Partners

Supported by U.S. Department of Energy and China Ministry of Science Technology, with:



MoHURD Center of Science & Technology of Construction

>13 U.S.
& China
Research
Partners



Tsinghua University



China Academy of Building Research



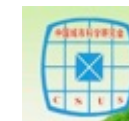
Chongqing University



Tongji University



Tianjin University

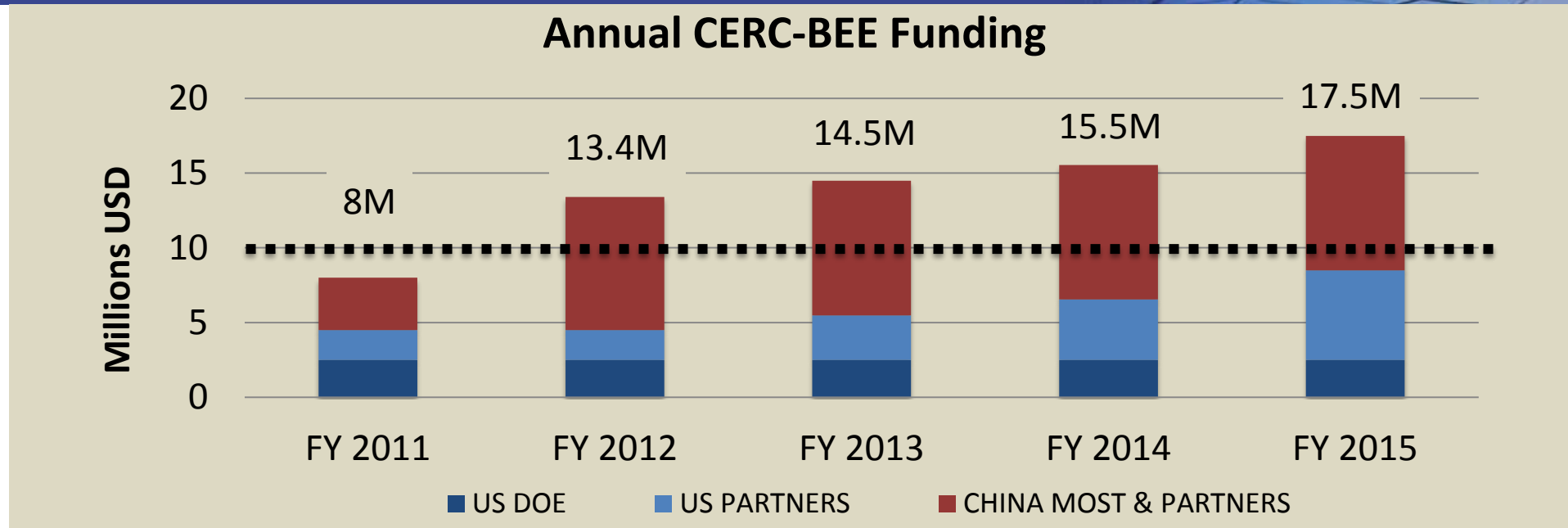


China Society for Urban Studies

>59 U.S.
& China
Industry
Partners
including:



Industry engagement strongest ever



- Industrial partners see value, demonstrated by +30% annual average growth rate for cash and in-kind contributions to date
- Initial 5-year total program funding of \$69M vs. \$50M planned (+38%)
- Presidents Obama & Xi renewed CERC for another 5-yrs 2016-2020
- Expanded industry engagement through affiliates programs



List of Key Accomplishments

Products Launched

- 3M: 3M 3015 product launch of primerless self-adhering membrane
- ClimateMaster: Co-axial ground heat exchanger (GHX) and Trilogy integrated heat pump
- DOW: LIQUIDARMOR – RS and LIQUIDARMOR – CM

Patents & Invention Disclosures

- DOW Air sealing - US 8,641,846 B2
- Smart Pumping Control for Hydraulic Distribution Systems
- Incorporation of SH powders in water based acrylic coatings
- LBNL's "Cool Roof Time Machine" (ASTM D7897-15) cuts prototype testing to **3 days** from 3 years

Standards, Codes, Policies

- ISO 15099 for standardized characterization of window and fenestration products
- China Building Energy Consumption Standard
- MOHURD national energy performance benchmarking and disclosure (EPB&PD) policy

Copyrights Software

- Enhancement to EnergyPlus, Behavior software module
- DER-CAM, webopt and operation DER-CAM
- Online Hotel Commercial building benchmarking tool for China



Demonstration projects yield meaningful technological progress



CERC-BEE 2.0 Unique Approaches



Integrated Design, Construction and Industrialized Building

Problem: Façade energy-saving features are limited by: poor construction site workmanship and/or the difficulty enforcing, through construction site inspections, stringent passive envelope energy codes.

Impact: Façade integrated design and prefabrication: provides a platform for optimal integration of energy saving features into facades for new construction or existing building re-skins.

Energy saving features that can be integrated:

(1) insulation, (2) air sealing, (3) high albedo exterior surfaces, (4) exterior shading of fenestration, (5) harvesting of daylight and passive solar heating, (6) provisions for natural ventilation, and (7) lower embodied energy and environmental impact materials.

Industrialized building advances:

(1) design standardization, (2) factory production, (3) construction site assembly, (4) architectural feature integration, (5) materials waste reduction, and (6) building information modeling (BIM) for improved cost and QA/QC management.

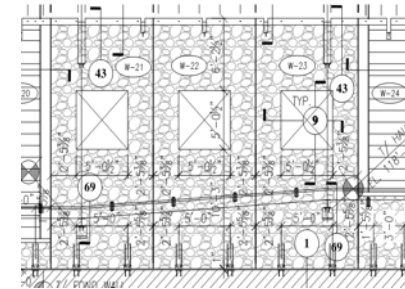
4. New/Retrofit Finished Façade



3. Pour/Ship/Assemble Modules



1. Integrated Precast Façade Design



2. New: 3-D Printed Precast Forms

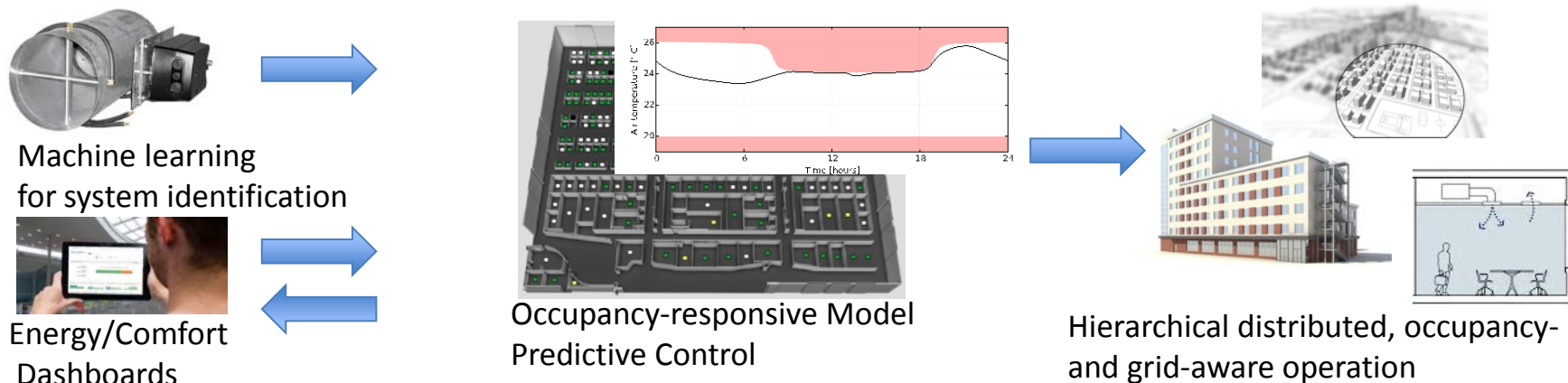


2. Old: Handcrafted Precast Forms



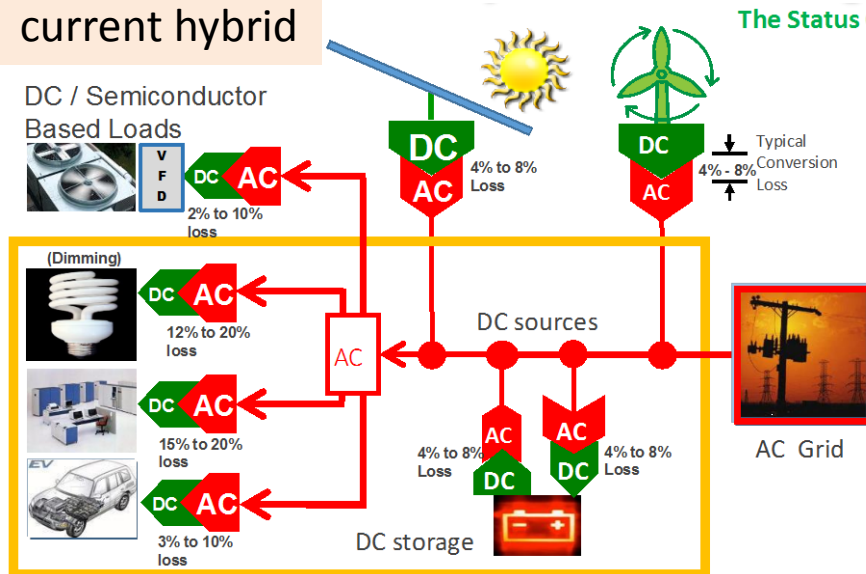
Integrated Sensors, Controls and Commissioning

- Problem**
- Building operation needs to become elastic, energy-optimized, grid-aware and occupancy responsive
- Approach**
- Develop and demonstrate open-source, Hierarchical Occupancy Responsive Model Predictive Control at Room, Building and Campus Levels, built on open standards, consisting of
 - machine learning for user behavior, occupancy and load prediction, and for model and state identification to reduce commissioning time, adjust to actual operation, and provide information about performance degradation for continuous commissioning, feeding into
 - Hierarchical Model Predictive Controllers that dynamically control rooms, buildings and campus, and
 - dashboards that inform occupants, building operators and campus managers about how to save energy or shed loads, and that retrieve their comfort and operation preferences
- Outcome**
- Building and district energy systems that optimize their operation across end-uses, learn about the energy system dynamics and user's comfort preferences and inform the building occupants and operator about how to reduce energy consumption
 - On site demonstration within an open infrastructure that allows start-up to also test and integrate their technologies



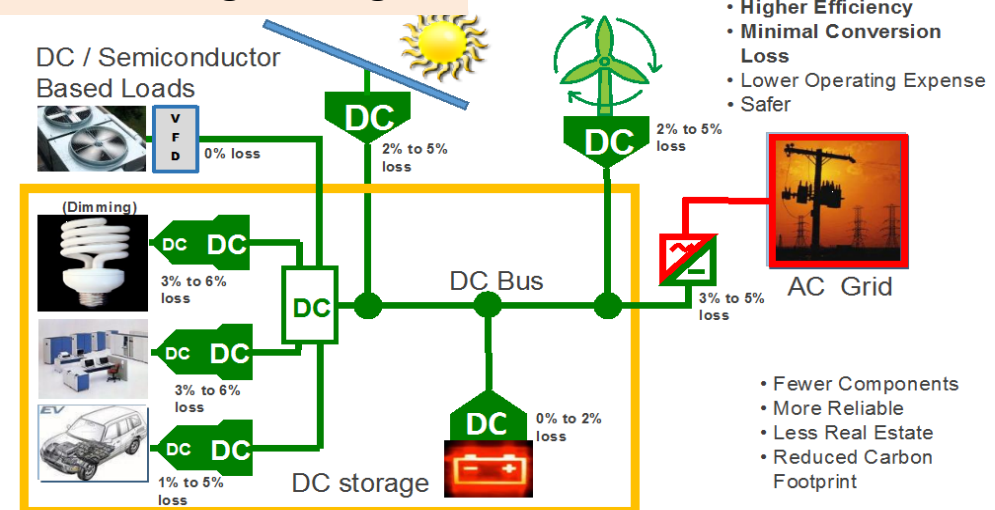
DC Buildings and Smart Grid

current hybrid



source: Emerge Alliance

DC building-microgrid



- Current hybrid buildings with on-site generation-storage require many energy conversions.
- Current commercial buildings have about 1/3 efficient loads involving DC, i.e. electronics, lighting, variable speed motors, etc. and the fraction is growing.
- Eliminating conversions $DC \rightarrow AC \rightarrow DC$ saves 10-20% electricity consumption.
- DC has other power quality advantages, so future buildings may use both.
- China conditions amenable to development of DC building systems



Indoor Environment Quality

PROBLEM: Outdoor air ventilation for commercial buildings limits energy and CO₂ savings opportunities and impacts indoor air quality because:

- The outdoor air must be thermally conditioned
- The most conditioning energy is often needed during peak times
- Outdoor air pollutants (e.g., particulate matter) increase indoors

GOAL: Develop and demonstrate technologies that manipulate air supply for energy-efficient HVAC while providing excellent indoor environmental quality.

APPROACH: Advances will be made in the following areas:

- Develop and demonstrate air cleaning technologies for fine particulate matter (PM_{2.5}), ozone, formaldehyde, volatile organic compounds (VOCs) and carbon dioxide (CO₂).
- Integrate air cleaning with ventilation by real-time monitoring using low-cost sensors
- Develop simulation tools to aid system design & selection for different building types, climates and air quality challenges

ACTIVITIES: Joint US-China teams will develop novel air cleaning materials, demonstrate air cleaning systems and sensing networks in Chinese buildings, and develop and apply simulation and other evaluation tools.

Enhanced removal
of indoor and
outdoor
contaminants

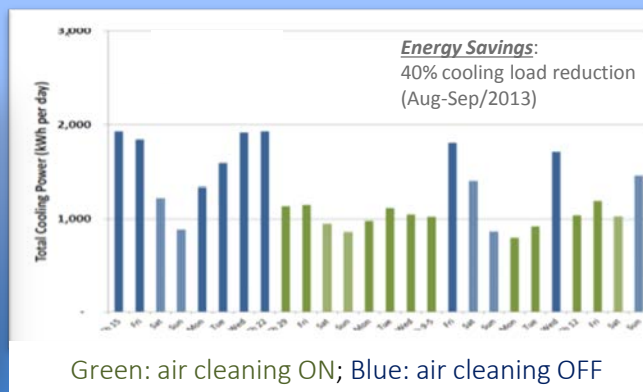
Reduced
need for
outdoor air
ventilation

Lower HVAC energy costs &
excellent indoor air quality

CASE STUDY: Office building
in San Antonio, Texas



Images provided by Enverid



Example Technology: Enverid HLR system with BASF sorbent materials that remove CO₂ and VOCs.

- increases HVAC energy efficiency
- provides and monitored continuously good indoor air quality, reducing intake of outdoor pollutants
- Significant impact on peak electricity demand with reduced GHG emissions



Policy & Markets Initiative

Objective: Accelerate the market penetration of advanced CERC technologies during new construction, major retrofit, and minor retrofit in the United States and China through the following solutions:

| CERC 2.0 Solution | Background/Description | Goals | Opportunity Areas | | Annual Savings | |
|----------------------------------|--|---|------------------------------------|----------------|---|---|
| | | | New Construction Major Retrofit | Minor Retrofit | United States | China |
| Systems Dynamic Model | Current DOE technology/policy impact assessment tools focus only on the US. We will expand the system dynamics model developed for CERC 1.0 to evaluate the impact of variations to market and policy solutions in <u>both the US and China</u> , in order to inform decision-making, and ultimately our policy implementation recommendations | By 2020, inform the development of outcome-based code, retrofit, and finance policies; impact and scale up potential of these policies; as well as price points for the adoption of CERC 2.0 technologies | ✓ | ✓ | | |
| Outcome-Based Code Models | Traditional codes fall short in being able to drive the implementation of very low energy buildings; we will help drive the development of an outcome based code that can capture <u>all the savings, verified</u> | By 2020, China's national 14th 5-year plan (2020–2025) specifies the development and DOE provides guidance to cities for developing outcome based codes for very low energy buildings | ✓ | | Energy Use: 3.9 Quads Emissions: 375 MtCO2 | Energy Use: 5.3 Quads Emissions: 500 MtCO2 |
| Retrofit Models | Current retrofit implementation models have not been able to achieve the scale required of them; we will work with implementation partners to <u>pilot and scale new retrofit and financing models</u> | By 2020, deliver working retrofit and financing models that are in the process of scaling in one China city and one US city | | ✓ | | |



Contact Jimmy Tran jtran2@lbl.gov for further information



Occupant Behavior Modeling Tools

- Introduction
 - A CERC-BEE 1.0 project (2013 – March 2016)
- Three occupant behavior modeling tools
 1. Occupancy Simulator, a web App for simulating occupant presence and movement in buildings
 2. obXML, an XML schema for representation and exchange of occupant behavior models
 3. obFMU, a functional mock-up unit (FMU) of occupant behavior models for co-simulation
- Next steps
- Resources

behavior.lbl.gov

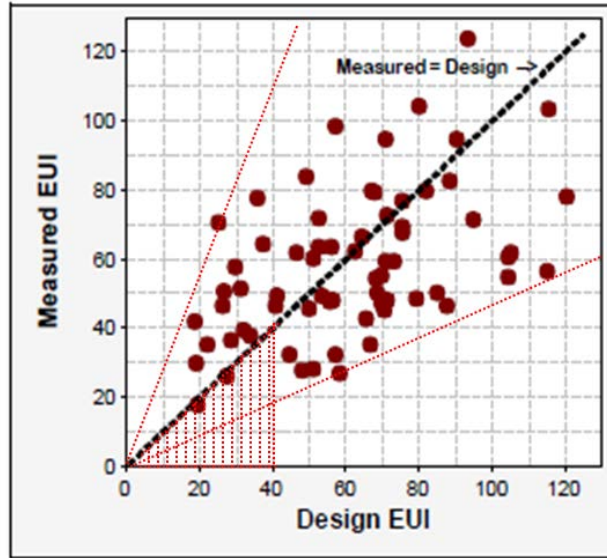
occupancysimulator.lbl.gov

www.annex66.org

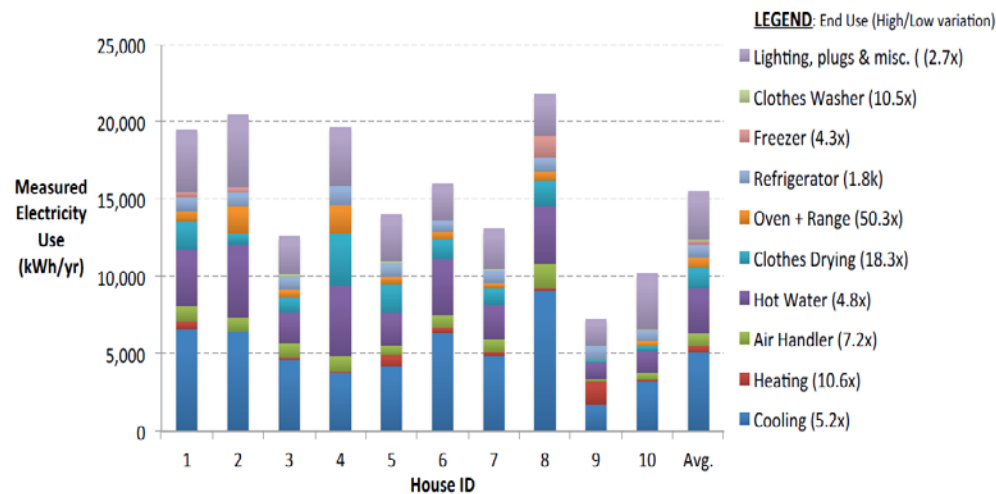


Introduction

- Why behavior research?
 - Technologies alone not necessarily guarantee low energy use in buildings.
 - Human behavior plays an essential role in buildings, but it is not well understood and usually over-simplified.
 - Behavior changes, usually no- or low-cost, has demonstrated 5 to 30% energy savings in buildings, but potential savings can be more in very low energy buildings.
- Occupant behavior is complex
 - Stochastic in time and space
 - Diversity
 - Multidisciplinary
 - Sensing and data is a challenge



2008 NBI Study of LEED NC certified buildings



Courtesy: Danny Parker, FSEC

Energy-related occupant behavior in buildings, e.g.:

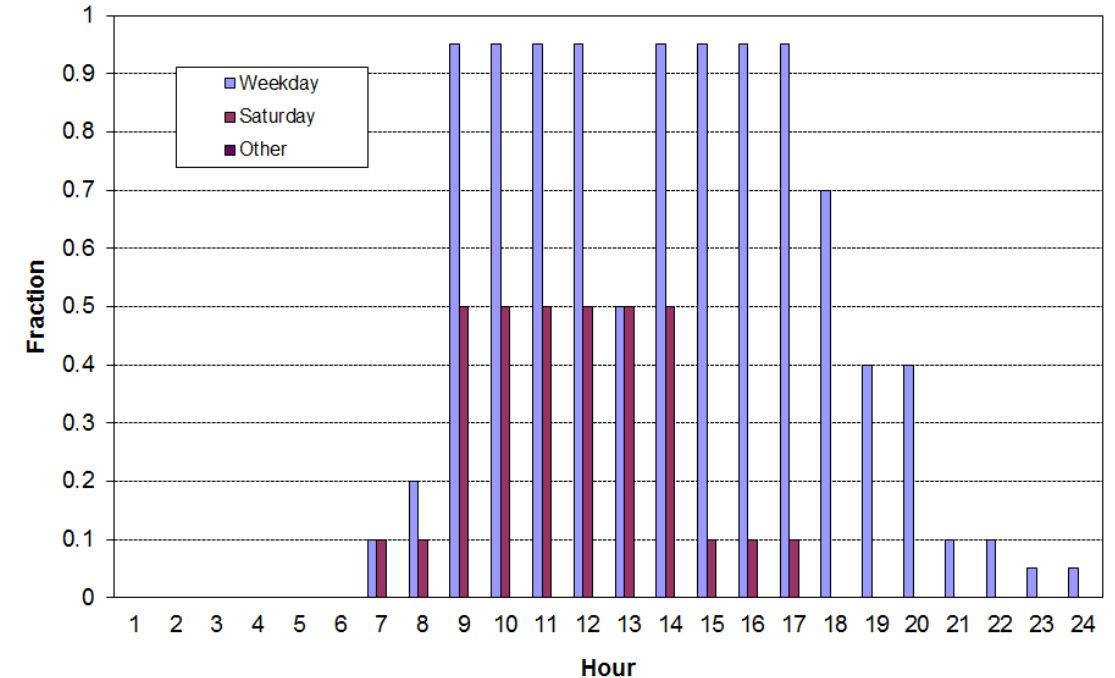
- Open/close windows
- Switch/dim lights
- Adjust thermostat
- Movement
- Turn on/off HVAC
- Operate shades
- Adjust clothing
- Turn on/off plug-loads

Impact of occupants:

- Passive effect: heat and moisture gains from occupants
- Active effect: occupants interact with lighting, plug loads, HVAC, windows and shades

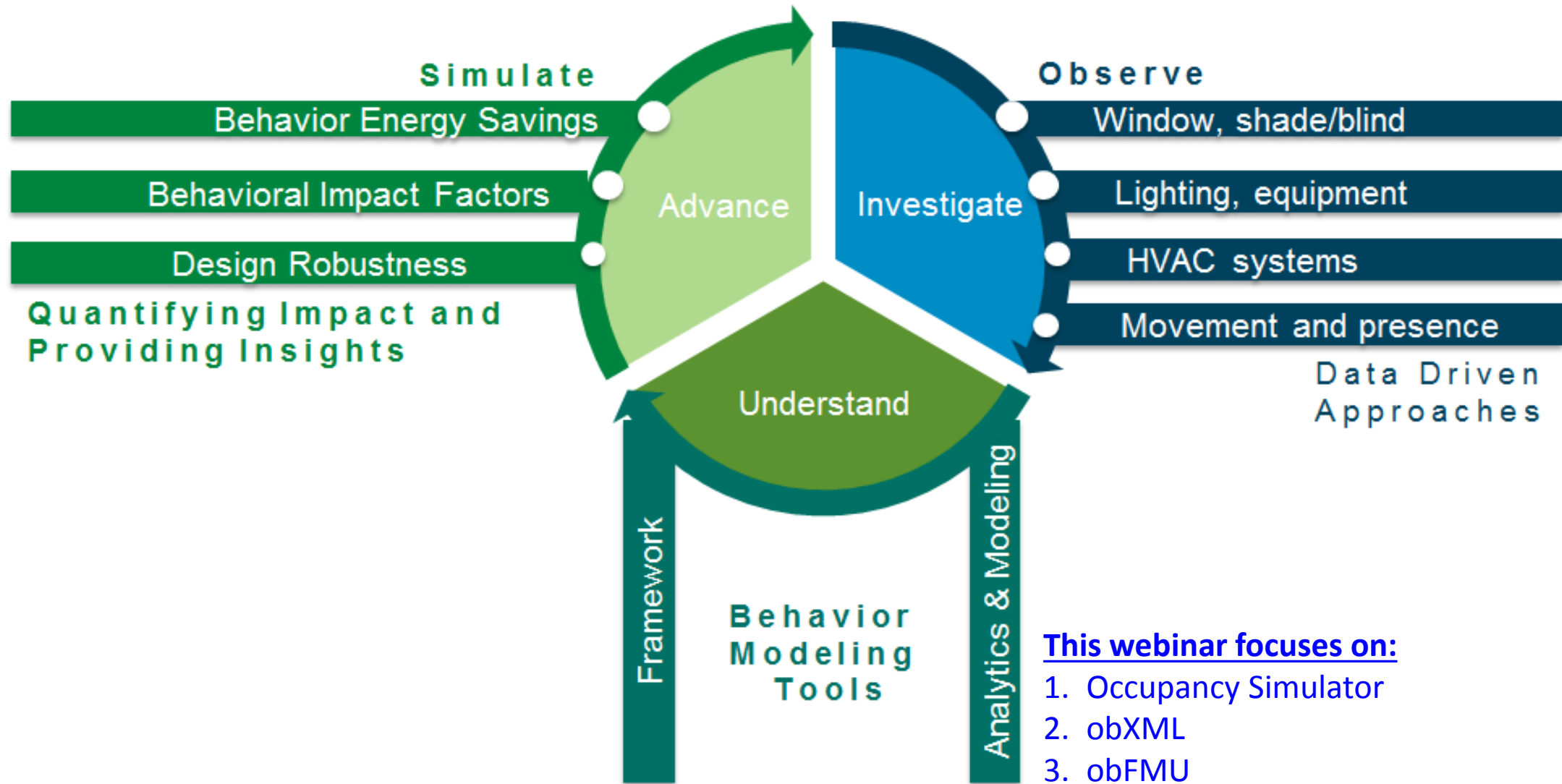
Limitations of occupant input in current building simulation programs:

- Use deterministic or static settings and rules
- Assume homogeneous profiles
 - Schedules, comfort requirements
- Hard to use custom features, e.g.
 - EnergyPlus EMS (Energy Management System)
 - DOE-2 User Function
 - IDA ICE NMF
- Simulation programs represent occupant input differently
 - No standardization
 - Cannot reuse models or data



Occupant schedules used in the DOE reference building models

Research Approach on Occupant Behavior in Buildings



Occupancy Simulator

- Occupant schedule is crucial to building energy simulation
- Simplified occupant schedules lack diversity and stochastics



Occupancy Simulator:

- A web Application running on multiplatform and devices
- Uses Markov Chain model to simulate movement of each occupant
- A simple and layered way for user input
- Produces occupant schedules for spaces and individual occupant
- Downloadable schedules in csv and IDF files

Markov Chain model

- Determine the location of each occupant based on their previous location and a transition probability matrix

$$X(t) = i \xrightarrow{p_{ij}} X(t+1) = j$$

- Transition Probability Matrix

$P_{i,j}$: The probability of an occupant moving from location (i) to location (j)

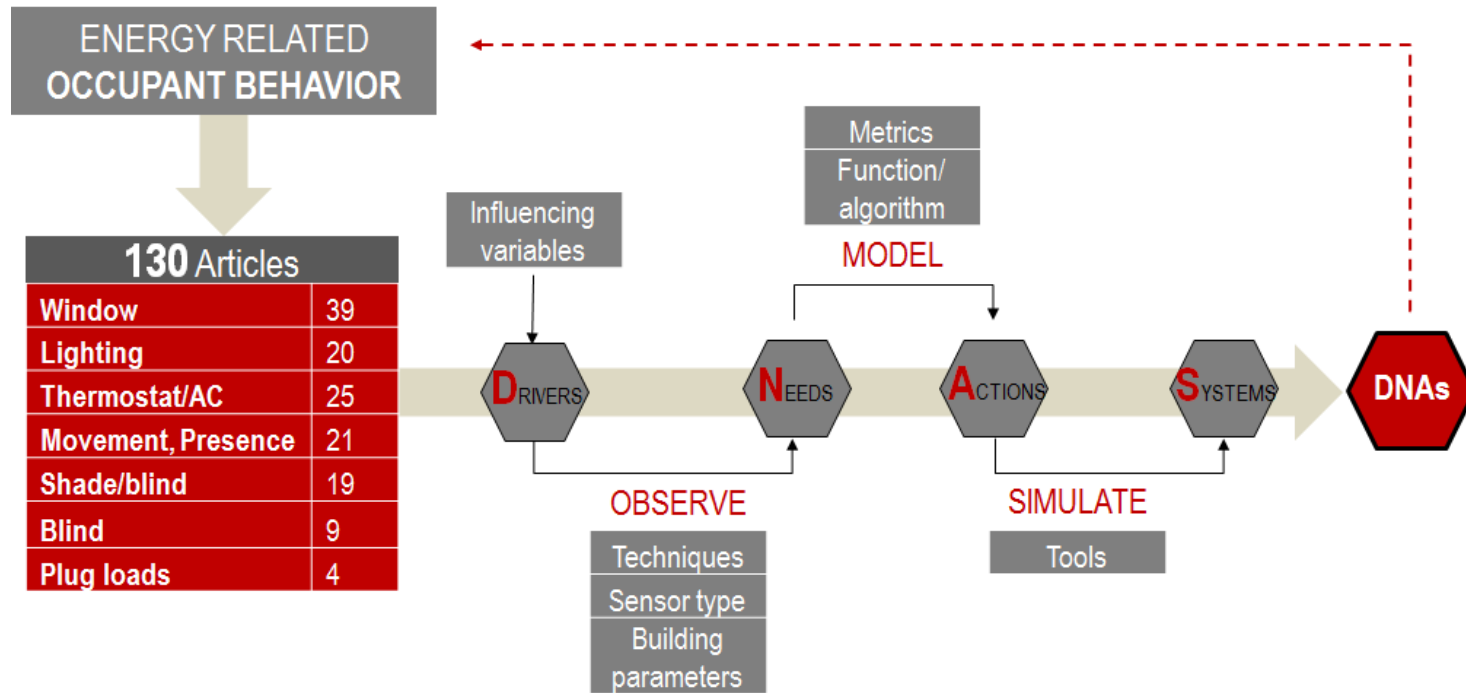
$$P_{t,t+1} = \begin{bmatrix} P_{00} & P_{01} & \cdots & P_{0n} \\ P_{10} & P_{11} & \cdots & P_{1n} \\ \vdots & \vdots & \ddots & \vdots \\ P_{n0} & P_{n1} & \cdots & P_{nn} \end{bmatrix}$$

References:

1. C. Wang, D. Yan, Y. Jiang. A novel approach for building occupancy simulation. Building Simulation, 4(2): 149-167, 2011.
2. X. Feng, D. Yan, T. Hong. Simulation of occupancy in buildings. Energy and Buildings, 87: 348-359, 2015.
3. Y. Chen, X. Luo, T. Hong. An Agent-Based Occupancy Simulator for Building Performance Simulation, ASHRAE Annual Conference, 2016

Demonstration of the Occupancy Simulator
OccupancySimulator.lbl.gov

The DNAS Framework for representing occupant behavior in buildings



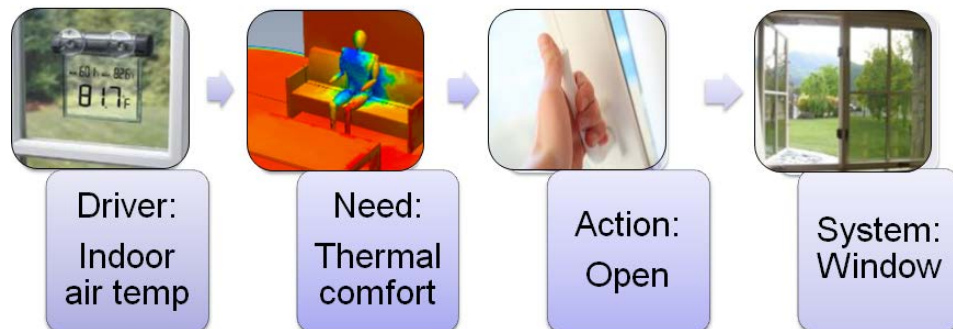
The DNAS Framework:

- **Drivers** represent the stimulating factors that provoke energy-related occupant behavior
- **Needs** represent the requirements of an occupant that must be met in order to ensure satisfaction with the environment
- **Actions** are interactions with building systems or activities that an occupant can conduct in order to satisfy their **needs**
- **Systems** are the equipment or mechanisms with which an occupant may interact to restore comfort

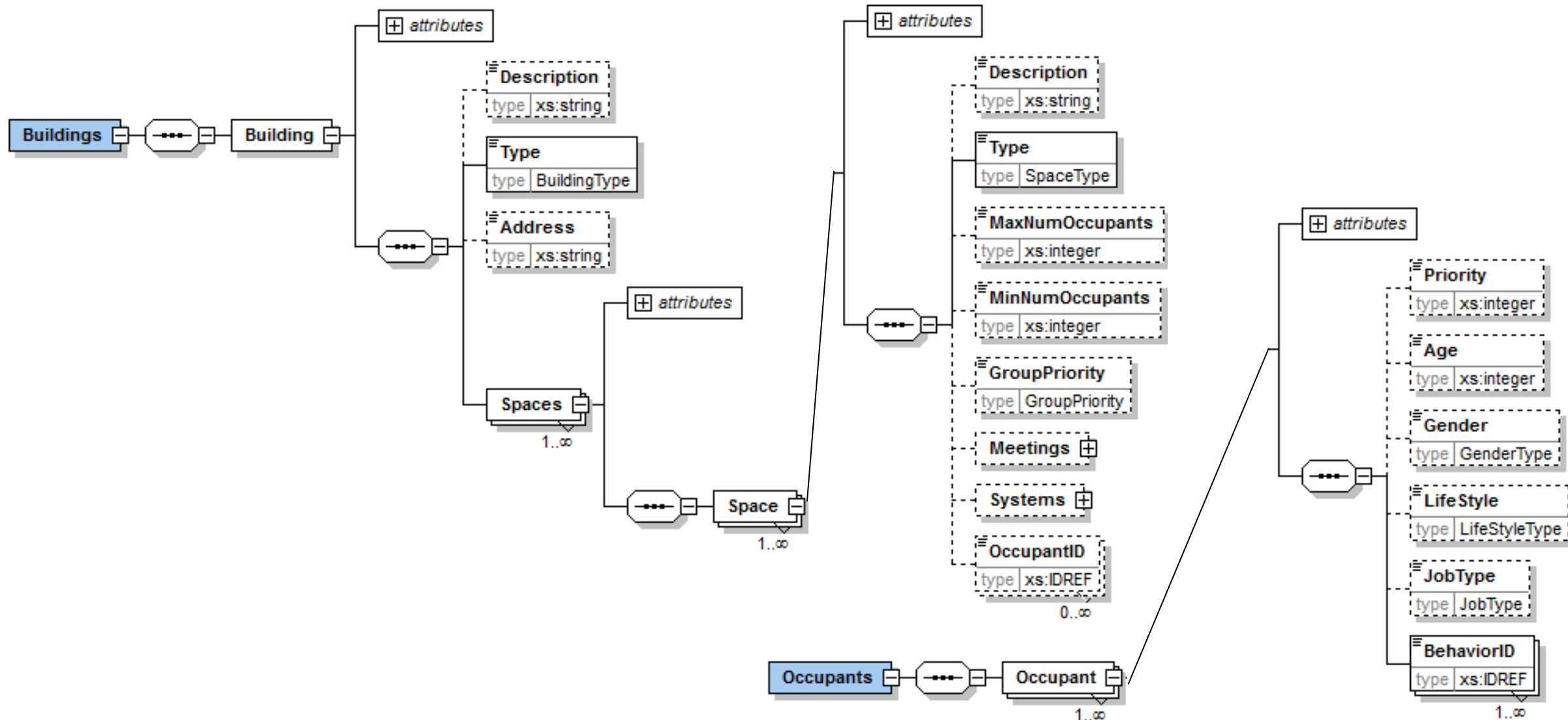
References:

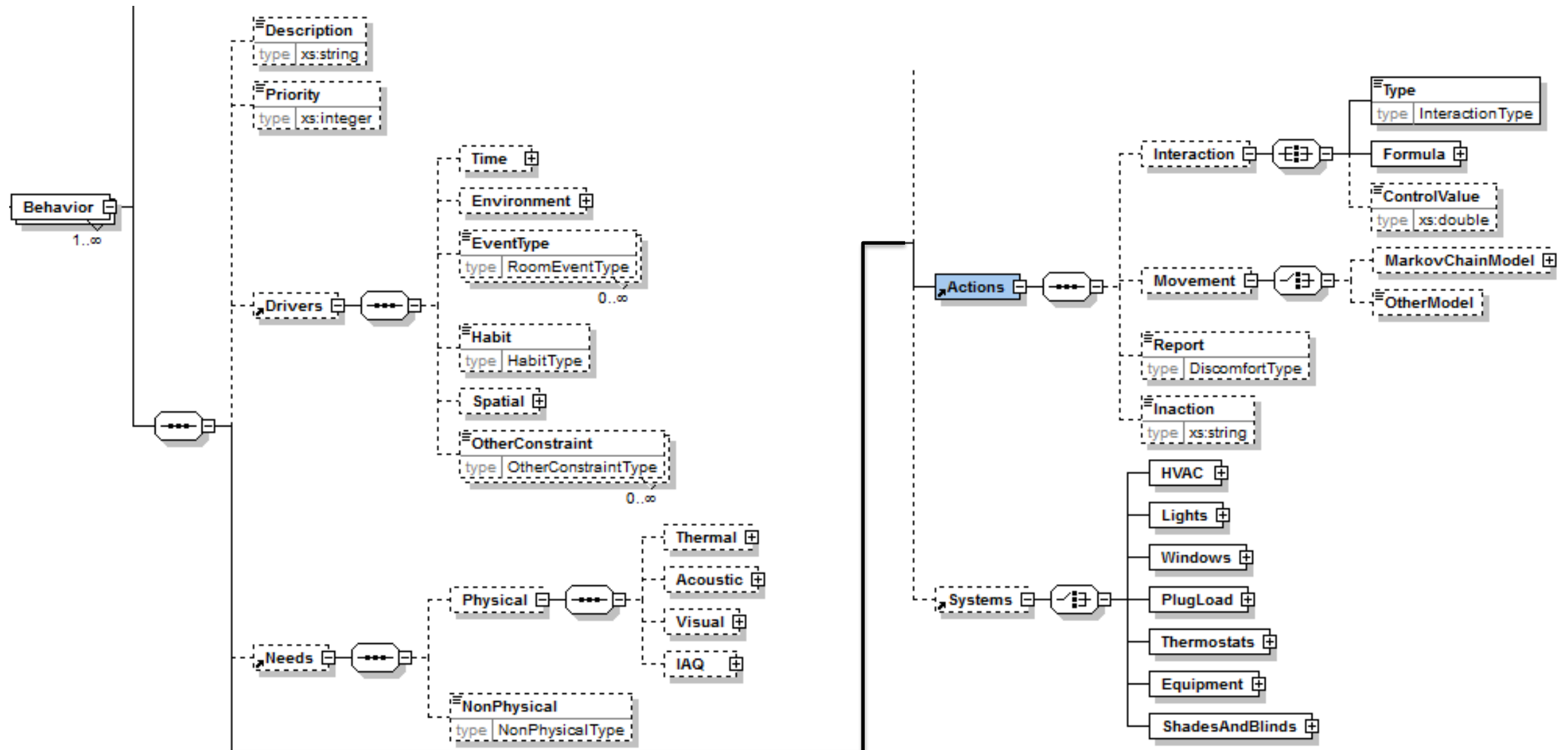
1. T. Hong, S. D'Oca, S.C. Taylor-Lange, W. J.N. Turner, Y. Chen, S. P. Corngati. An ontology to represent energy-related occupant behavior in buildings. Part II: Implementation of the DNAs Framework using an XML schema. Building and Environment, 2015
2. T. Hong, S. D'Oca, W. Turner, S.C. Taylor-Lange. An ontology to represent energy-related occupant behavior in buildings. Part I: Introduction to the DNAs Framework. Building and Environment, 2015

An example – opening a window



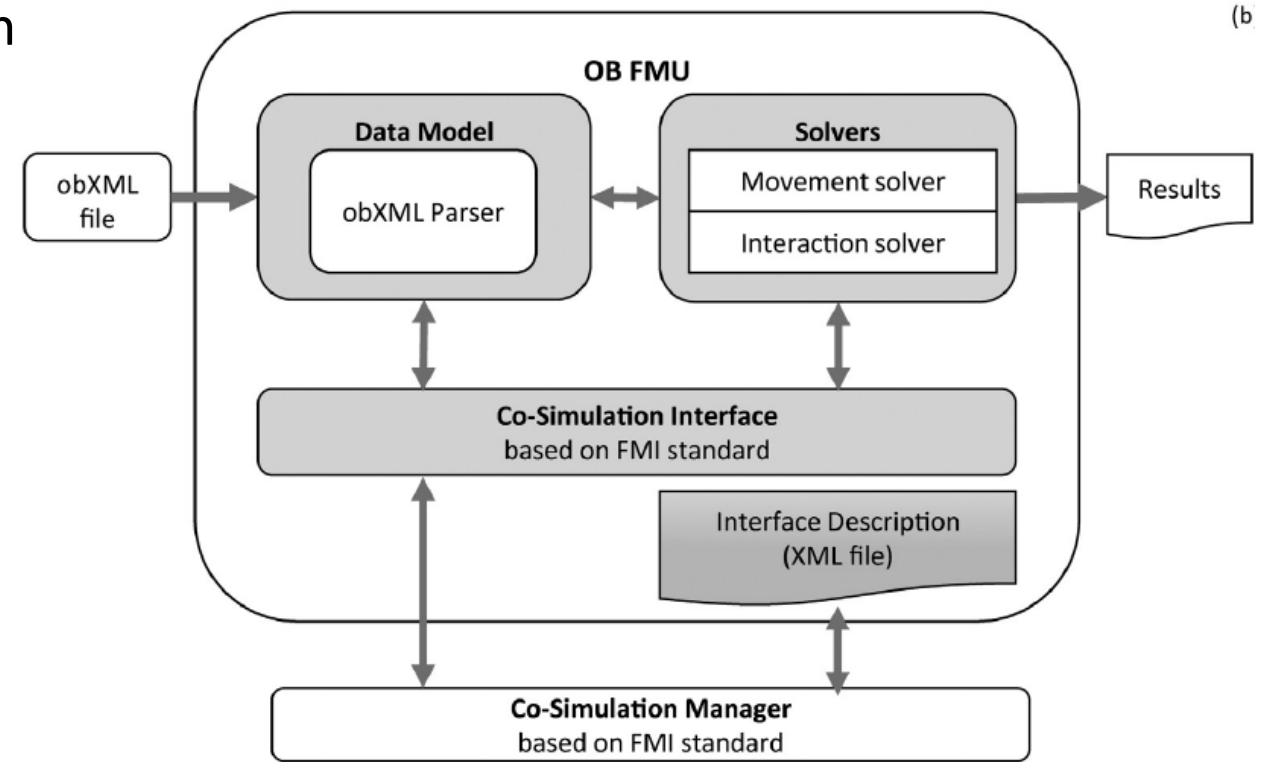
obXML – An XML schema implementing the DNAS framework

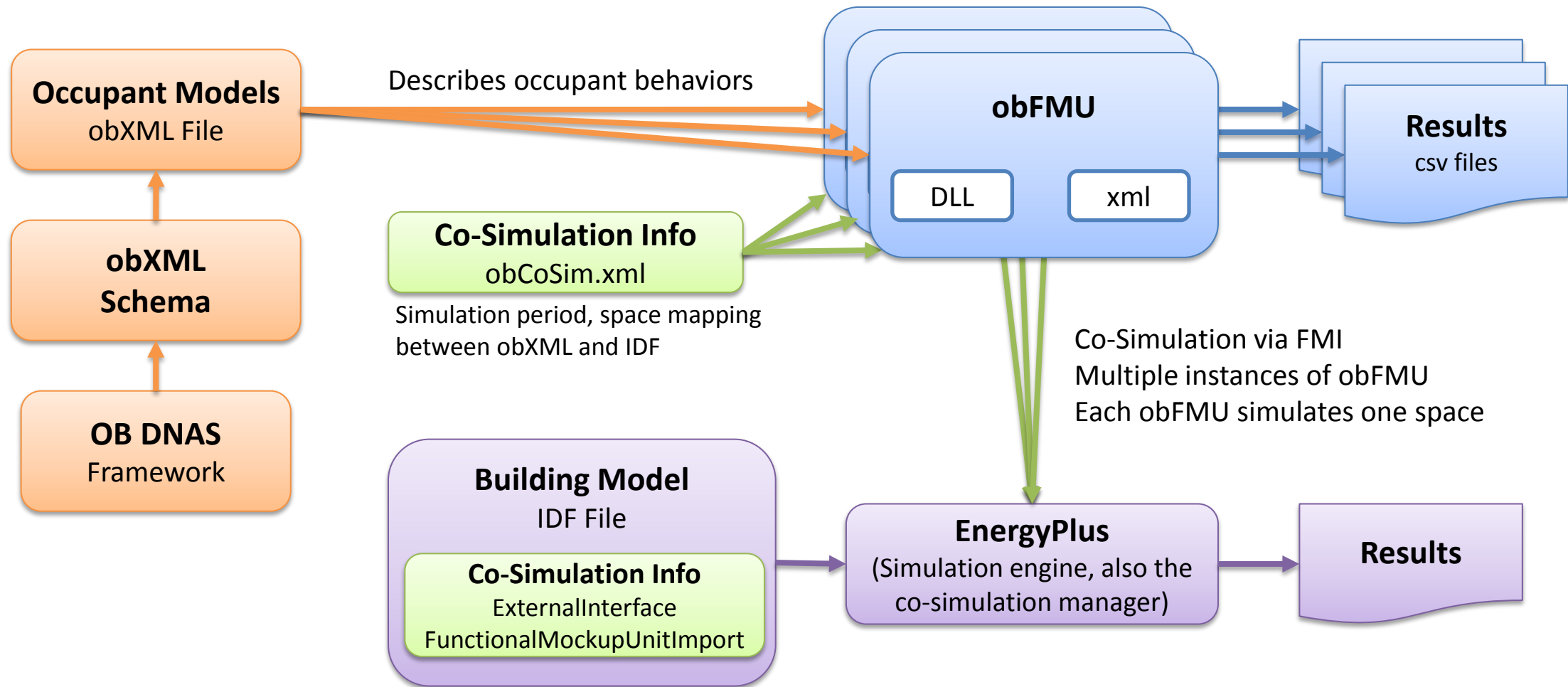




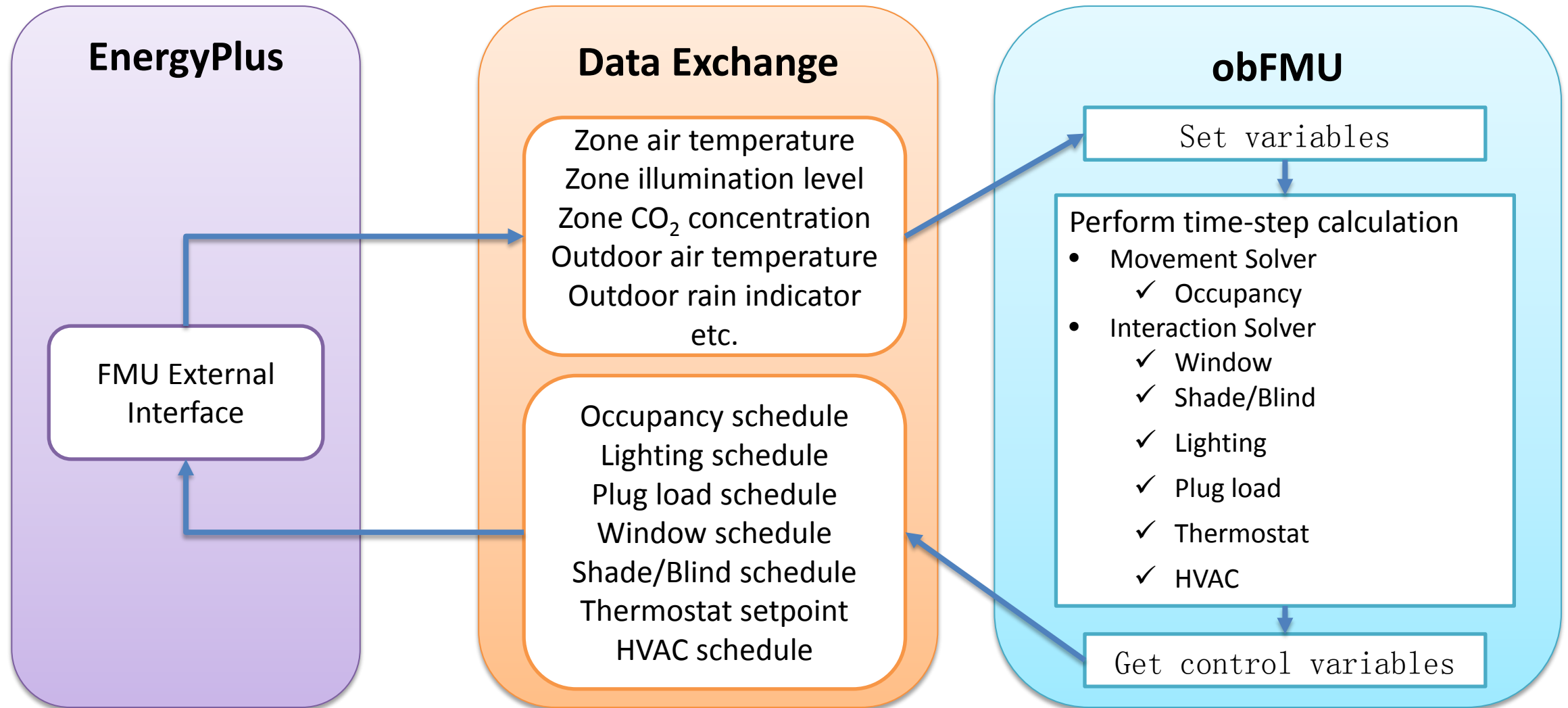
- A Functional Mock-up Unit (FMU) of occupant behavior models
- Support co-simulation with building simulation programs, e.g., EnergyPlus
- Implement occupant behavior models for:
 1. Controls: on, off, proportional
 2. Systems: windows, shade/blind, lights, plug loads, thermostat, and HVAC
 3. Events: entering, leaving, meeting, lunch
 4. Various probabilistic models
- Reference

T. Hong, Y. Chen, S.C. Taylor-Lange, H. Sun, D. Yan. An occupant behavior modeling tool for co-simulation. Energy and Buildings, 2016

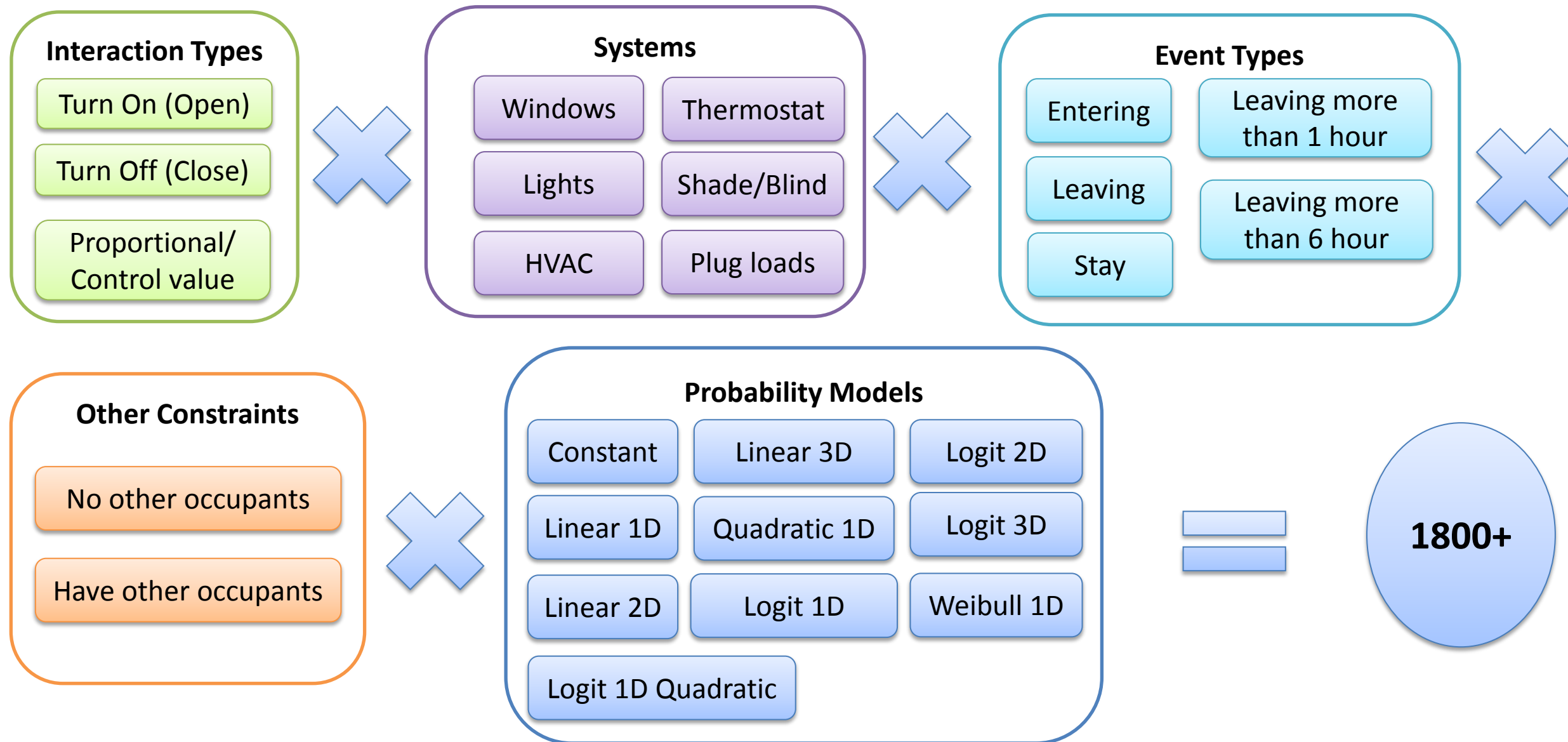




obFMU – Data Exchange and Work Flow



Types of Occupant Behavior Models Implemented in obXML and obFMU



An Example – Hunt's lighting use model (1980)

Turn on lights based on daylight illuminance using **Logit 1D Quadratic** Model.

Interaction Type

Turn On

System

Lights

Probability Model

Logit1D Quadratic

```
<Behavior ID="B_Light_Hunt_1980_Logit_1D_Quadratic">
  <Description>
    Hunt's lighting use model (1980)
  </Description>
  <Drivers>
    <Environment>
      <Parameter ID="P1" Name="Daylight illuminance">
        <Type>RoomWorkPlaneDaylightIlluminance</Type>
      </Parameter>
    </Environment>
  </Drivers>
  <Needs>
    <Physical>
      <Visual>
        <ParameterRange>
          <ParameterID>P1</ParameterID>
          <Min>658</Min>
        </ParameterRange>
      </Visual>
    </Physical>
  </Needs>
</Behavior>
```

```
<Actions>
  <Interaction>
    <Type>TurnOn</Type>
    <Formula>
      <Logit1DQuadratic>
        <Description>
           $p = A + C / \{1 + \exp(-B * [\log(P1) - D])\}$ 
        </Description>
        <CoefficientA>-0.0175</CoefficientA>
        <CoefficientB>-4.0835</CoefficientB>
        <CoefficientC>1.0361</CoefficientC>
        <CoefficientD>1.8223</CoefficientD>
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      </Logit1DQuadratic>
    </Formula>
  </Interaction>
</Actions>
<Systems>
  <Lights>
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  </Lights>
</Systems>
</Behavior>
```

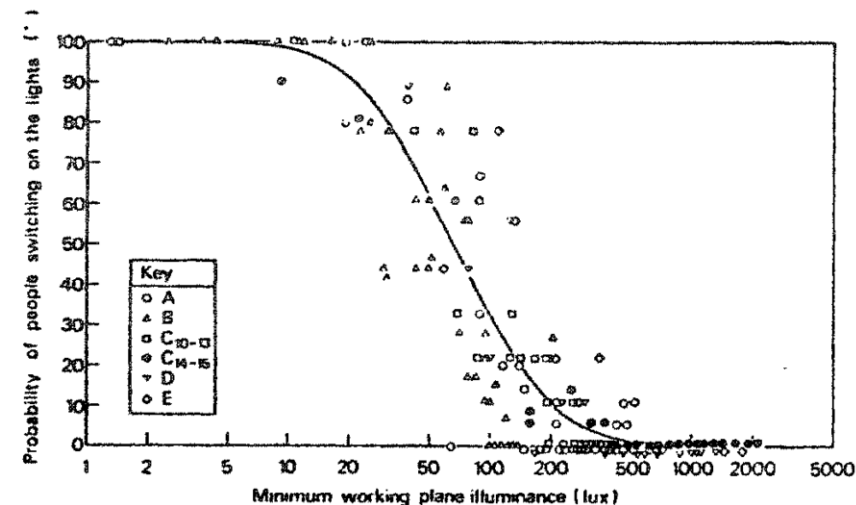


Fig. 1. Probability of someone turning artificial lighting on in a daylit space—field data from various sources.

$$p = A + \frac{C}{1 + \exp\{-B * [\log_{10}(P1) - D]\}}$$

Reference: Hunt, D. R. G. Predicting artificial lighting use - a method based upon observed patterns of behaviour. *Light. Res. Technol.* (1980).

Future Plan

- Occupancy Simulator
 - Validation with measured data
 - Support complex cases with a large number of spaces and occupants
 - Improve run-time performance with parallel computing
- obXML
 - Add annotations to the schema
 - Develop a library of occupant behavior models in obXML
 - Consider constraints of multiple behaviors (e.g. turn off HVAC if opening windows)
 - Explore potential integration of obXML with BIM
- obFMU
 - Develop an Application Guide
 - Improve EnergyPlus FMI: (1) removing memory limitation, (2) supporting FMI 2.0 standard
- CERC 2.0 project
 - Application of these tools and integration with building control systems
- Collaboration
 - IEA EBC Annex 66
 - ASHRAE MTG.OBB

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Group photo of the Annex 66 participants at the 2nd Experts Meeting at KIT, Germany

How you can help us to improve these tools?

- Try them and provide feedback
- Provide data for validation
- Propose new features
- Use the tools 😊
- Join our future development

Questions

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CERC Building Energy Efficiency Consortium: cercbee.lbl.gov

CERC-BEE occupant behavior research project: Behavior.lbl.gov

Occupancy Simulator: Occupancysimulator.lbl.gov

IEA EBC Annex 66: Annex66.org